

Securities Competitive Auction
System
User Manual

Version : V1.2
2025/02/24

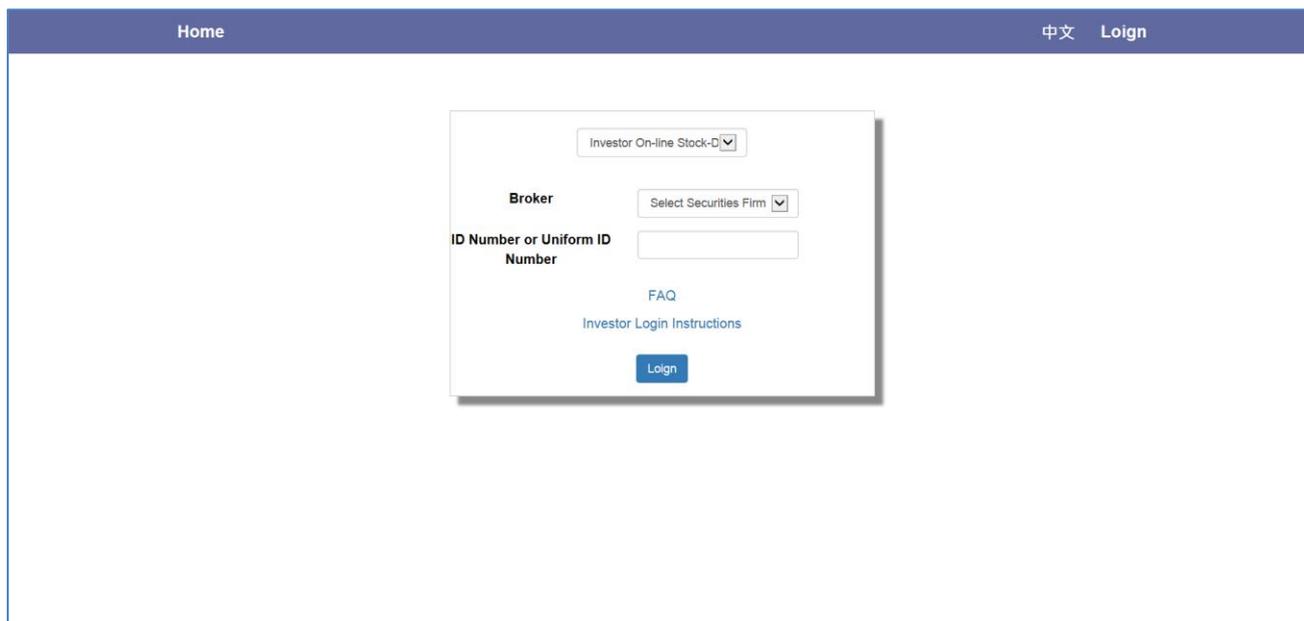
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1 Login

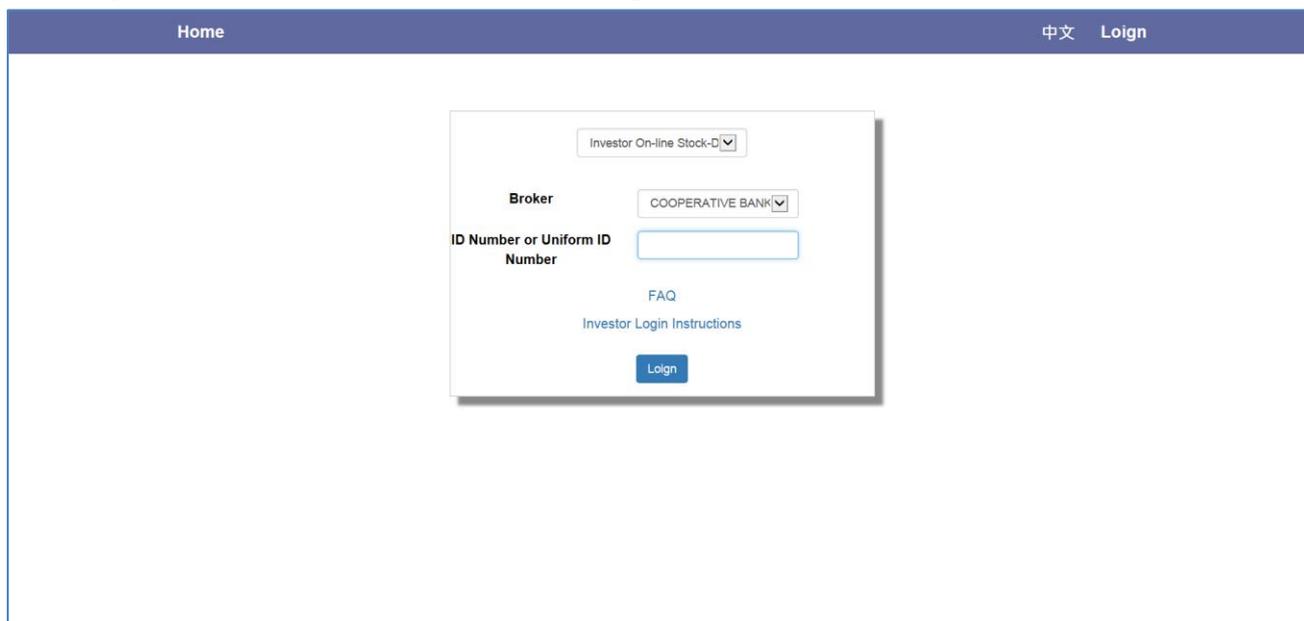
1.1 On-line Stock-Dealing Certificate

Step1. When to choose "On-line Stock-Dealing Certificate" as the type of certificate to log in: When the investor will be placing a bid using a valid On-line Stock-Dealing Certificate issued by a securities firm and held by the investor.



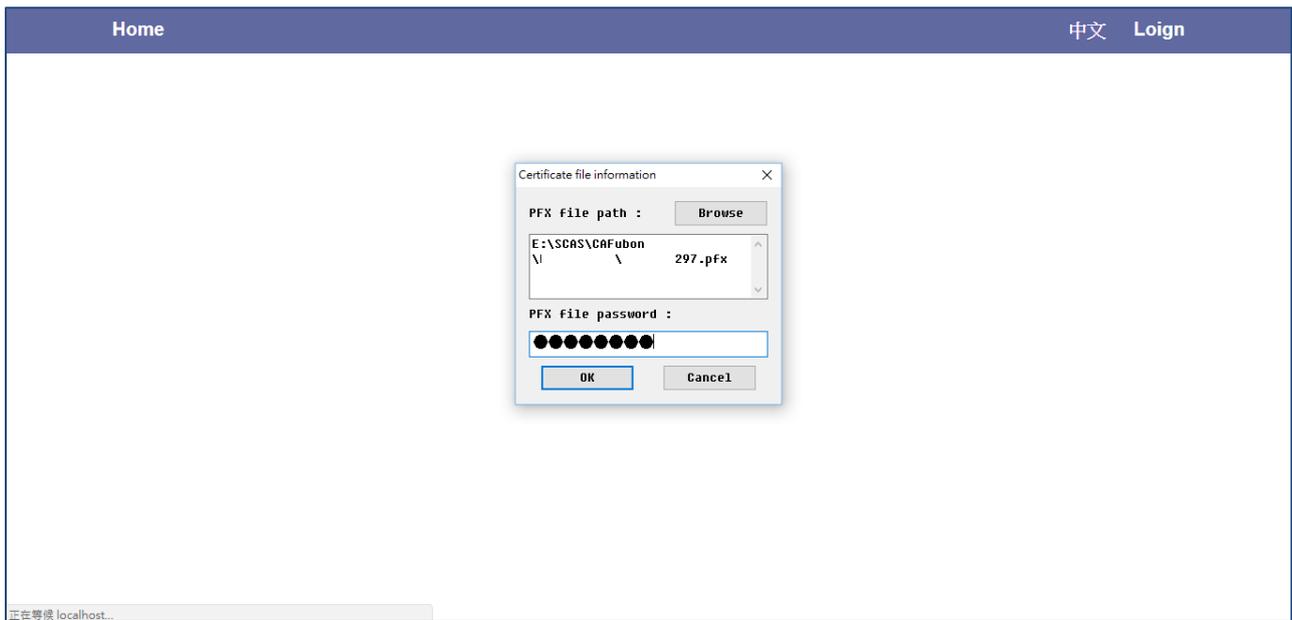
The screenshot shows a web page with a dark blue header containing "Home" on the left and "中文 Loign" on the right. The main content area is white and contains a login form. At the top of the form is a dropdown menu labeled "Investor On-line Stock-D" with a downward arrow. Below this are two labels: "Broker" and "ID Number or Uniform ID Number". The "Broker" label is positioned to the left of a dropdown menu labeled "Select Securities Firm" with a downward arrow. The "ID Number or Uniform ID Number" label is positioned to the left of a text input field. Below the input field are two links: "FAQ" and "Investor Login Instructions". At the bottom of the form is a blue button labeled "Loign".

Step2. Select securities firm and input investor's ID number



The screenshot shows the same web page as in Step 1. The dropdown menu for "Investor On-line Stock-D" is still at the top. The "Broker" dropdown menu now shows "COOPERATIVE BANK" selected. The "ID Number or Uniform ID Number" text input field is now highlighted with a blue border, indicating it is the active field. The "FAQ" and "Investor Login Instructions" links and the "Loign" button remain at the bottom of the form.

Step3. Please note! If the investor has not yet imported the certificate into the browser, please select the location of the certificate, and input the certificate password. **If the investor has already imported the certificate into the browser, and the browser has been preset to read the certificate, then this screen will be skipped over.** (For how to check whether the certificate has already been imported into the personal computer, please see section 3. Frequently Asked Questions [FAQ].)



Step4. Once successfully logged in, the investor may begin the bidding operations.



2 Investor

2.1 Input Bid(I001)

Step1. Select the auction on which the investor wishes to bid.

Home BID Operation - Manage - 9602 960231 Logoff

Input Bid(I001)Create a bid

Before submitting the bid, carefully read the relevant materials such as the securities prospectus and the notice of the rules governing the handling of the competitive auction.

1 Input Bid 2 Confirm Content 3 Important Terms 4 Completed!

Initial TWSE Listing | 6666 | 6666 stock

Begin

Step2. Click the “Begin” to show the basic details of the auction and the field for inputting the bid.

Home BID Operation - Manage - 9602 960231 Logoff

Input Bid(I001)Create a bid

Before submitting the bid, carefully read the relevant materials such as the securities prospectus and the notice of the rules governing the handling of the competitive auction.

1 Input Bid 2 Confirm Content 3 Important Terms 4 Completed!

Initial TWSE Listing | 6666 | 6666 stock

Begin

Securities Code	Name of the Securities	Nature of the Issue	Market	Date of Opening of Bids	Minimum Quantity Per Single Bid (in Units of 1,000 shares)	Minimum Bid Price (NTD)	Maximum Award Quantity (in Units of 1,000 shares)	Tender Fee Per Single Bid (NTD)	Bid Margin Percentage (%)	Winning Bid Fee Rate (%)	Deadline for Deposit of Margin
6666	6666 stock	Initial TWSE Listing	TWSE Listed	2018-06-05	2	6.66	60	400	50%	3%	2018-05-30

***Asterisk indicates required field.**

Auction Number 20180004

* Trading Account Number

[help](#)

Step3. Click the "help" next to any field for an explanation of the information that should be input into the field.

Date of Birth

If a natural person, the investor must input their date of birth (Gregorian [international] calendar year = ROC calendar year + 1911). The investor must be of age.

Please note! If there is any discrepancy between the date of birth entered and the one recorded in the account opening information, it may cause your bid to be disqualified. Please contact the securities firm to confirm the date of birth.

Juristic persons should leave this field blank!

Step4. After you have input the required information, the "Margin (Including the Tender Fee) Payable" and the "Total Price" for that bid will appear underneath the "Bid Price" and "Bid Quantity" fields, respectively. After checking the content for accuracy, click "Next".

Securities Code	Name of the Securities	Nature of the Issue	Market	Date of Opening of Bids	Minimum Quantity Per Single Bid (in Units of 1,000 shares)	Minimum Bid Price (NTD)	Maximum Award Quantity (in Units of 1,000 shares)	Tender Fee Per Single Bid (NTD)	Bid Margin Percentage (%)	Winning Bid Rate (%)	Deadline for Deposit of Margin
6666	6666 stock	Initial TWSE Listing	TWSE Listed	2018-06-05	2	6.66	60	400	50%	3%	2018-05-30

***Asterisk indicates required field.**

Auction Number: 20180004 * Bid price (NTD) <input type="text" value="9"/>	* Trading Account Number <input type="text" value="9602"/> <input type="text" value="960231"/> * Bid Quantity (in Units of 1,000 shares) <input type="text" value="6"/>
Amount of Margin Payable (Including Tender Fees) (NTD): 27,400	Total Price (NTD): 54,000
* ID Number or Uniform ID Number <input type="text" value="03559508"/> * Date of Birth <input type="text" value="Year: 2018"/> <input type="text" value="Month: 1"/> <input type="text" value="Day: 1"/>	Foreign Investor Code Number <input type="text" value="F12345678"/> * Telephone Number <input type="text" value="02-31018101"/> <input type="text" value="x"/> * Cell Phone Number <input type="text" value="0912345678"/>

[Next](#)

Step5. Check the accuracy of the bid content once again, and then click "Next". Please double-check the accuracy of the information that has been input in each field. **After the close of bidding, the bid content will be reviewed, and if any error is found in the review, the bid will be deemed invalid, and will be excluded from the auction.**

Input Bid(I001)Confirm Bid

1 Input Bid

2 Confirm Content

3 Important Terms

4 Completed!

Please note! You have not yet completed submission of your bid!
Auction Info

Securities Code	Name of the Securities	Nature of the Issue	Minimum Bid Price (NTD)
9999	測試	初上市	10.0000

Confirm Bid

Bid price (NTD) :	15.00	Bid Quantity :	1
Auction Number :	20250001	Trading Account Number :	1020 - 0551622
ID Number or Uniform ID Number :	A137618037	Foreign Investor Code Number :	
Date of Birth :	1973 - 1 - 22		
Telephone Number :	02-9874516	Cell Phone Number :	0987659567

**The amount of margin payable (including the tender fee) for this bid is :
 NT\$ 7,900**

The total price of this bid is : NT\$ 15,000

[Return to Preceding Page](#)

[Next Step](#)

Step6. Important Terms and Conditions and Representations.

Home BID Operation Manage 9602 960231 Logoff

Input Bid(001)Important Terms and Conditions

1 Input Bid 2 Confirm Content 3 Important Terms 4 Completed!

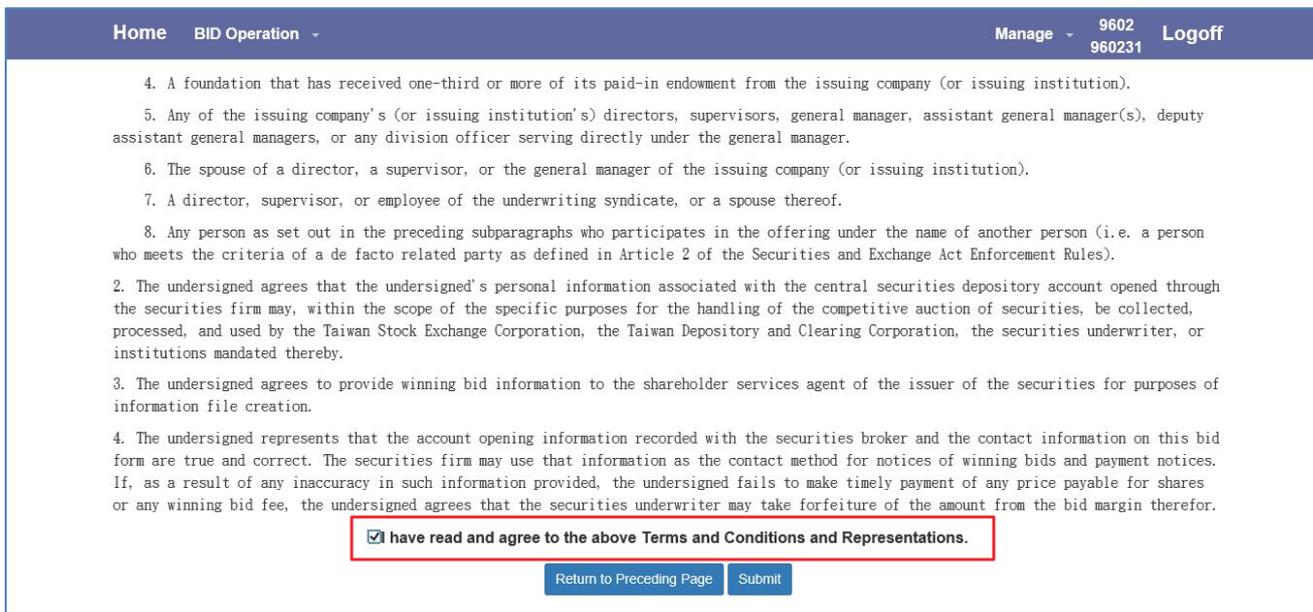
Important Terms and Conditions

1. Bids to participate in a competitive auction shall be handled in accordance with the bid form and applicable laws and regulations, administrative rules, and the operation procedures adopted by the lead underwriter. All matters relating to the present competitive auction shall be handled in accordance with the Taiwan Securities Association Rules Governing Underwriting and Resale of Securities by Securities Firms and Procedures for the Allocation of Securities by Competitive Auction by Securities Firms.

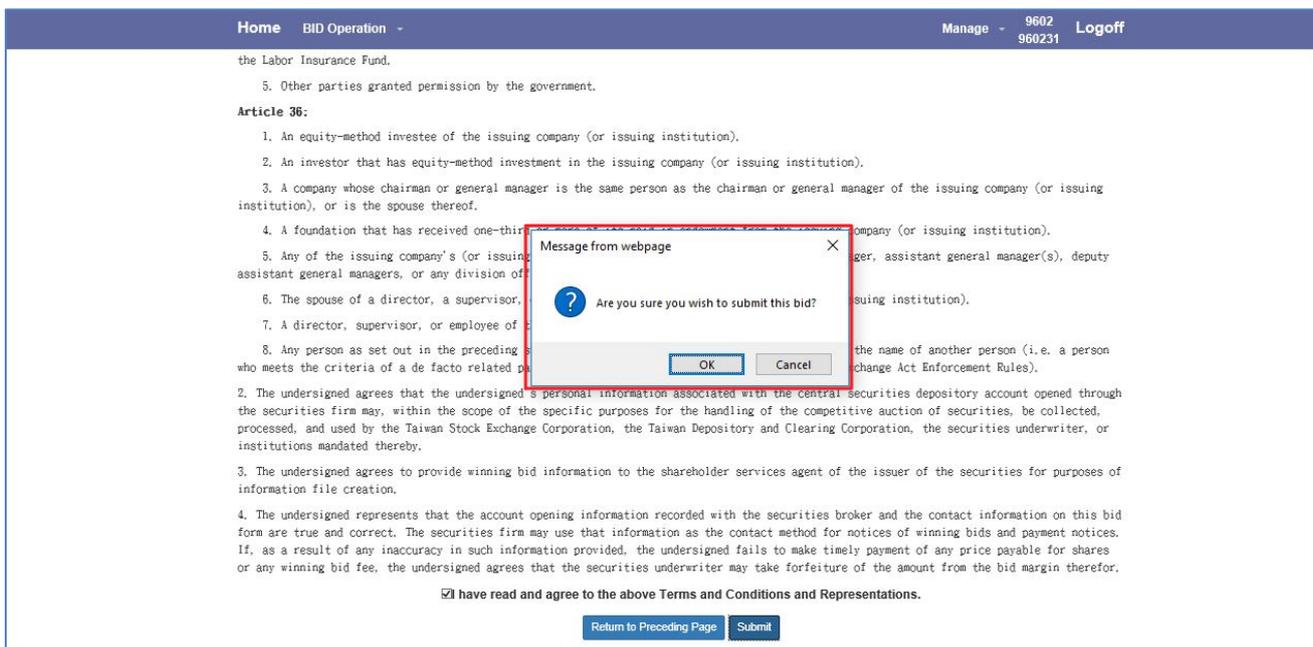
2. Article 13 of the Taiwan Securities Association Rules Governing Underwriting and Resale of Securities by Securities Firms provides that a bid form to which any of the following circumstances applies is disqualified and will be excluded from the auction without refund of the tender fee:

1. The bid price is lower than the minimum offering price under Article 8, paragraph 1, subparagraph 2.
2. The quantity of securities bid for is lower than the minimum unit per bid or exceeds the maximum bid quantity per bid.
3. The bid margin or the tender fee has not been paid in full.
4. The trading account number (11 digits) has not been properly filled in, or the information is inaccurate.
5. The ID number or uniform ID number has not been properly filled in, or the information is inaccurate.
6. If a natural person, the date of birth has not been properly filled in, or the information is inaccurate.
7. The stock code has not been properly filled in, or 36 of the Taiwan Securities Association Rules Governing Underwriting and Resale of Securities by Securities Firms.
8. Failure to open a trading account, a book-entry bank account for remittance of funds, or a book-entry account for custody and remittance of securities.
9. Failure to sign a contract with the bank designated by the securities broker for deductions of funds in connection with the competitive auction.
10. The balance of funds in the bidder's book-entry bank account for remittance of funds is lower than the sum total of the margin and tender fee required for the bid for the securities.
11. The bidder has submitted the bid in the name of another or falsely using the name of another

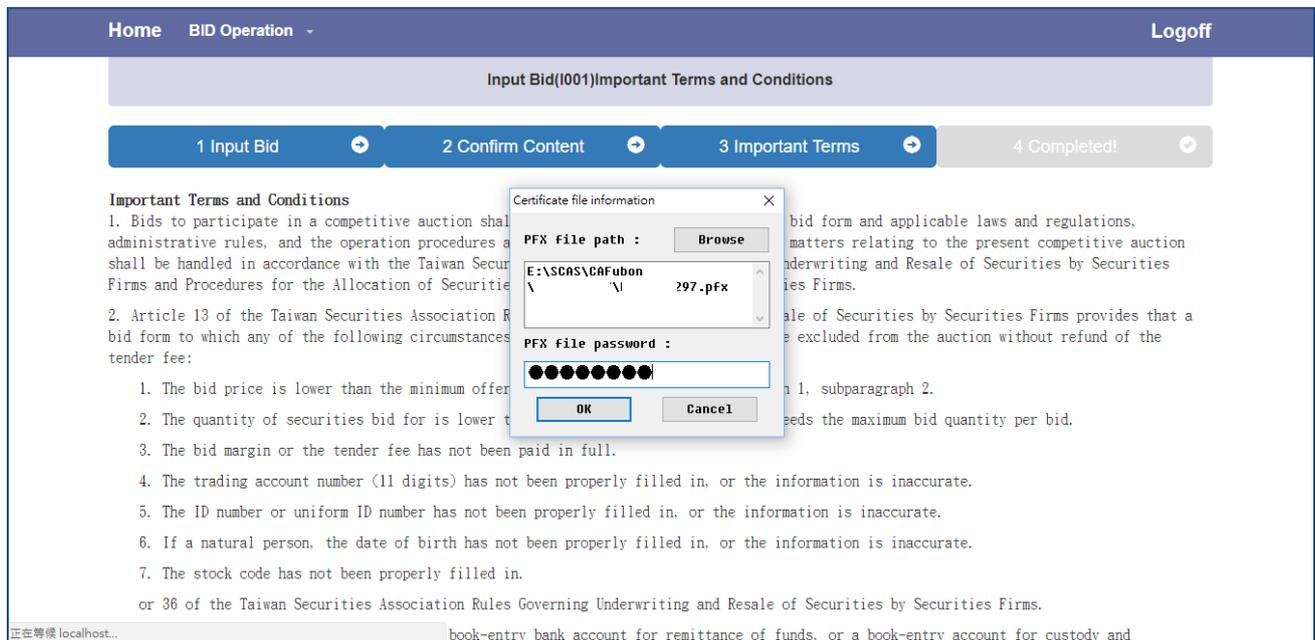
Step7. After reading the Terms and Conditions, select the "I have read and agree to the above Terms and Conditions" checkbox, and click the "Submit" tab.



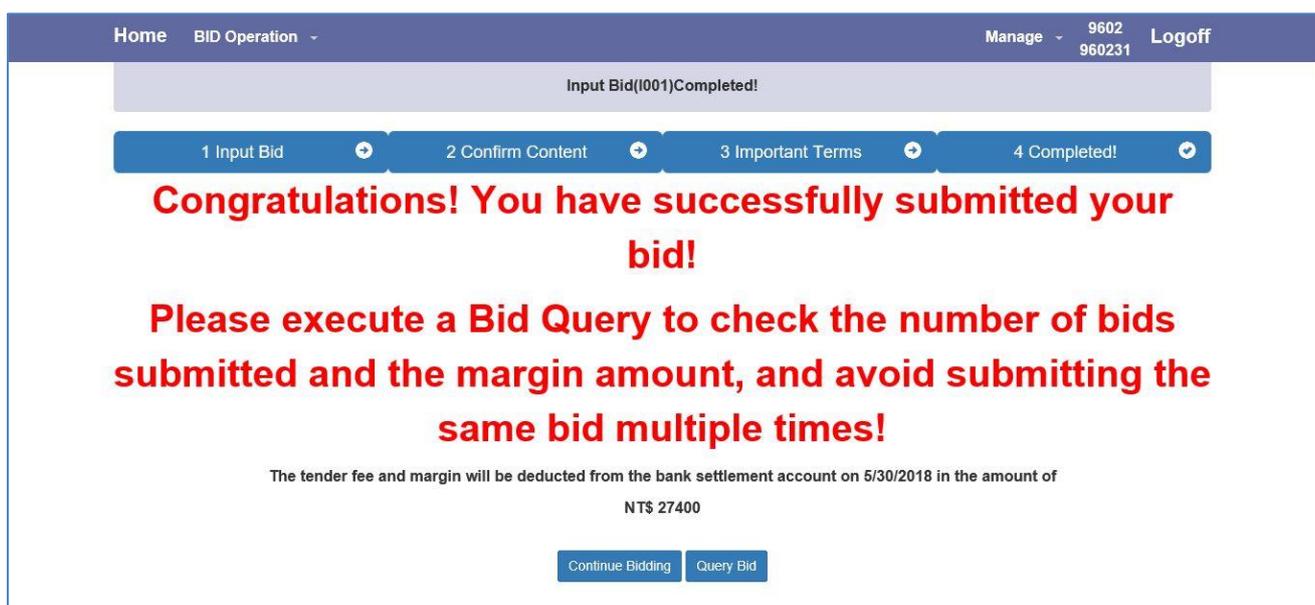
Step8. After clicking the "Submit" tab, a dialog box will appear on the screen asking "Are you sure you wish to submit this bid?" as seen outlined in red below. If you click "OK", your bid will be submitted.



Step9. Input the certificate password again: If you logged in using a Securities and Futures Certificate, please input the Securities and Futures Certificate password. If you logged in using an investor On-line Stock-Dealing Certificate, please input the On-line Stock-Dealing Certificate password.



Step10. The appearance of this screen indicates that the operations for submitting the bid are complete, and reminds the bidder of the date on which the margin will be deducted from your account and the amount to be deducted. **If the bidding process is interrupted because of any operation problem or unknown cause, please immediately execute a "Query Bid " to confirm whether the procedure for submitting the bid has been completed, so as to avoid making multiple submissions of the same bid.**



2.2 Margin and Bid Query (I002)

Step1. Select the auction to be queried and input the investor trading account number (the securities firm code + the investor account number, 11 digits in total).

Margin Payable and Bid Query

Auction: Initial TWSE Primary Listing | 0409 | Test Stock

Investor's Trading Account Number: 9602 0000014

Begin

Important Terms and Conditions

- The total margin payable includes the tender fee for each bid. For non-winning bids, the tender fee will not be returned, only the bid margin will be returned.
- The total balance payable for winning bids includes the winning bid fee. If the funds on deposit are insufficient to make the full deduction, the margin will be forfeited.
- Please note! A bidder making an in-person query at a securities firm during the bidding period is only able to query the number of bids successfully submitted and the amount of margin; the itemized bid content cannot be queried until after the bidding is closed.
- A bidder using an Investor On-Line Stock-Dealing Certificate can query the itemized content of all bids that have been successfully submitted.

Step2. An investor who logs in using the On-line Stock-Dealing Certificate can query the full itemized information of all bids that have been submitted through the account opened with that securities firm, whether the bids are qualified, and, after the bids have been opened, whether the bids are winning bids (by clicking on "See Winning Bids").

Margin Payable and Bid Query

Auction: Initial TWSE Primary Listing | 0409 | Test Stock

Investor's Trading Account Number: 9602 0000014

Begin

Auction Info: Opening of Bids Completed

Show Auction

Query Successful! Your amount currently payable for this auction is:

Trading Account	Total Bid Margin Payable and Tender Fee (NTD)	Total Balance Payable for Winning Bids and Winning Bid Fees(NTD)	Number of Bids Successfully Submitted	Number of Winning Bids
9602-0000014	72800	171000	11	11

You currently have 11 successfully submitted bids. The content is as follows:

Trading Account	Bid price (NTD)	Bid Quantity	Tender Fee (NTD)	Margin Deductible (NTD)	ID Number or Uniform ID Number	Foreign Investor Code Number	Date of Birth	Telephone Number	Cell Phone Number	Bid Status	Winning Bid?
9602-0000014	10.00	1	400	3000	N123367297		3/2/1901		0963123456	Qualified Bid	See Winning Bid
9602-0000014	10.00	2	400	6000	N123367297		2/2/1900		0963123456	Qualified Bid	See Winning Bid
9602-0000014	11.00	2	400	6600	N123367297		3/2/1902		0963232608	Qualified Bid	See Winning Bid
9602-0000014	11.00	2	400	6600	N123367297		1/1/1901		0963123456	Qualified Bid	See Winning Bid

Step3. The Winning Bid information screen is as shown below.

The screenshot shows the 'Margin Payable and Bid Query' interface. A pop-up window titled 'Transaction Content of Selected Bid' is displayed over the main content. The pop-up contains the following information:

- Winning Bid Price (NTD): 10.00
- Winning Bid Quantity: 1
- Balance Payable for Winning Bid (NTD): 7000
- Winning Bid Fee Payable (NTD): 500

The background interface shows a table of trading accounts and a list of bids. The 'Auction Info' section indicates 'Opening of Bids Completed'.

Step4. If there currently are not any successfully submitted bids through the investor's trading account, the query result shown below will appear.

The screenshot shows the 'Margin Payable and Bid Query' interface. The 'Auction Info' section indicates 'Opening of Bids Not Yet Completed'. A yellow banner message states: 'Currently, no bids have yet been successfully submitted through trading account 9602-0000014!'. Below the banner, there is a table with the following columns and data:

Securities Code	Name of the Securities	Nature of the Issue	Market	Date of Opening of Bids	Minimum Quantity Per Single Bid (in Units of 1,000 shares)	Minimum Bid Price (NTD)	Maximum Award Quantity (in Units of 1,000 shares)	Tender Fee Per Single Bid (NTD)	Bid Margin Percentage (%)	Winning Bid Fee Rate (%)	Minimum Award Price
8888	test 8888	Initial TPEX Listing	TPEX Listed	2018/05/24	2	10.00	40	400	50%	4.5%	

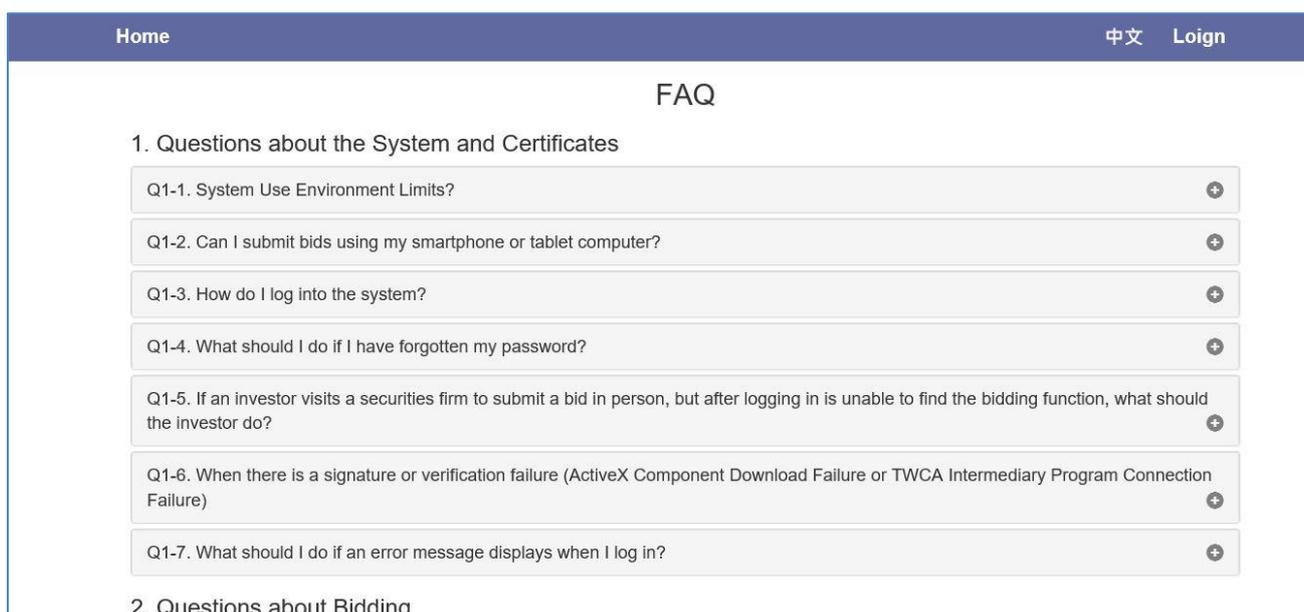
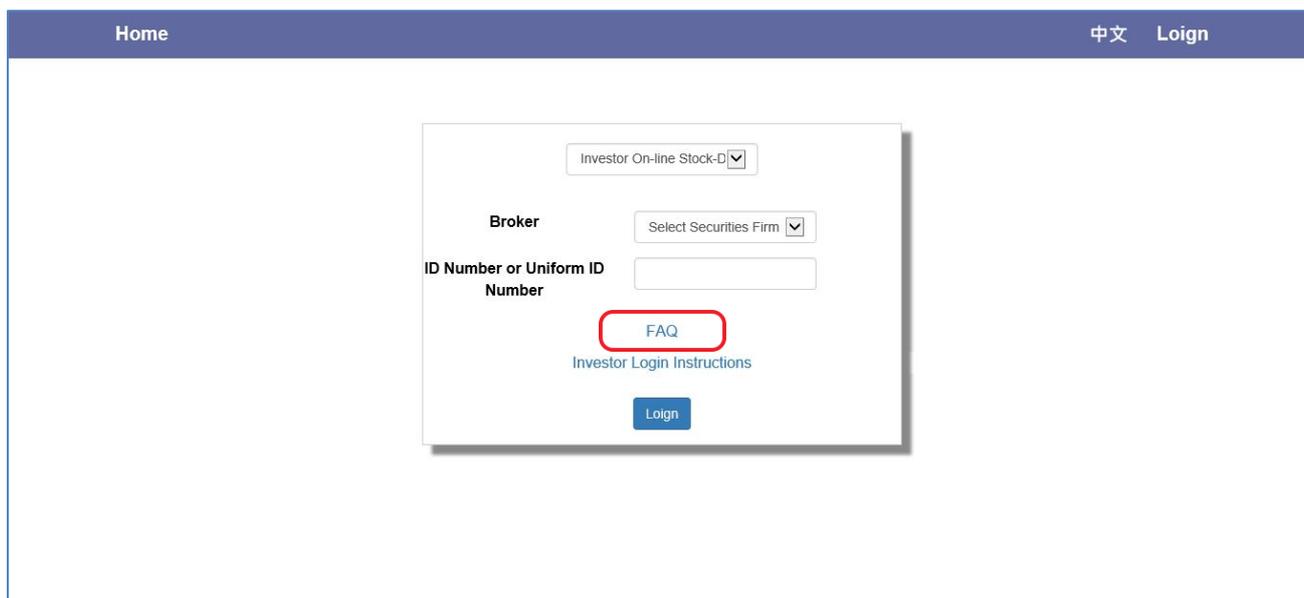
Below the table, there are 'Important Terms and Conditions' listed in red text:

- The total margin payable includes the tender fee for each bid. For non-winning bids, the tender fee will not be returned; only the bid margin will be returned.
- The total balance payable for winning bids includes the winning bid fee. If the funds on deposit are insufficient to make the full deduction, the margin will be forfeited.
- Please note! A bidder making an in-person query at a securities firm during the bidding period is only able to query the number of bids successfully submitted and the amount of margin; the itemized bid content cannot be queried until after the bidding is closed.
- A bidder using an Investor On-Line Stock-Dealing Certificate can query the itemized content of all bids that have been successfully submitted.

3 Frequently Asked Questions

3.1 FAQ

To view the answers to frequently asked questions (FAQ), click on the "FAQ" tab on the login page.



3.2 Error codes commonly seen when attempting to log in

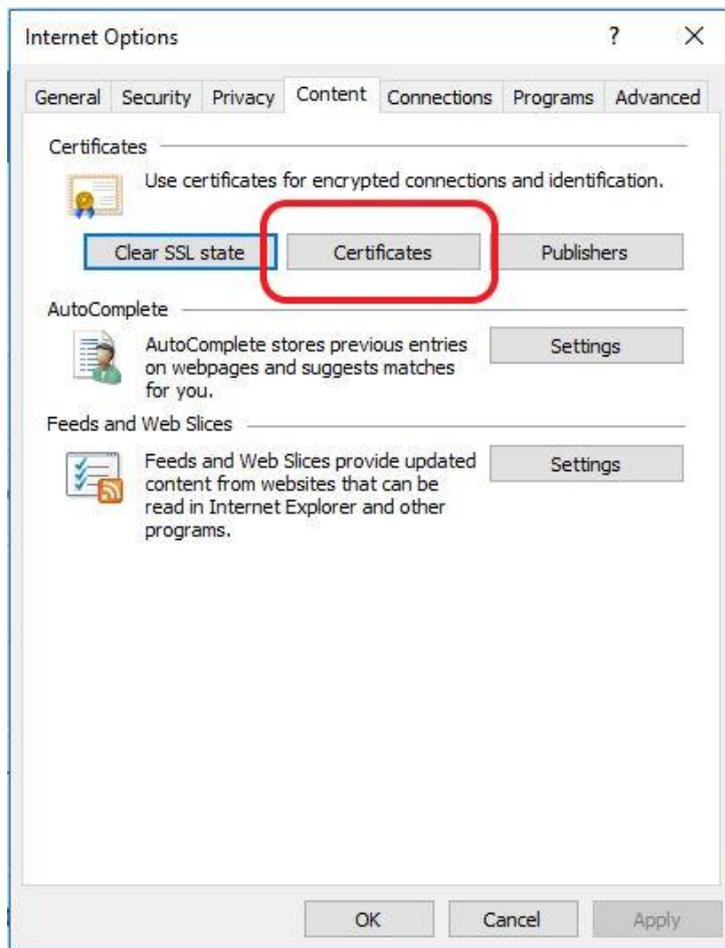
Error code :

8042	Incorrect format(PKCS7)
24833	Certificate has expired
25648	Certificate suspend

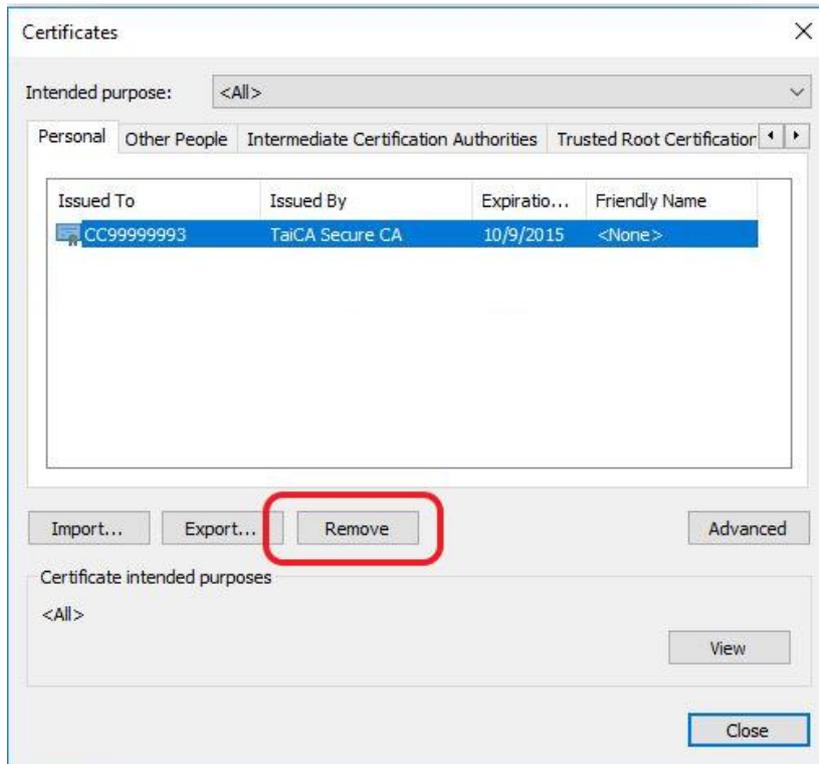
26112	Incorrect Certificate
36896	Certificate has locked
36897	Incorrect password

3.3 Querying certificates that have been imported into browser(example:IE)

Step1. Tools -> Internet Options -> Content -> Certificates



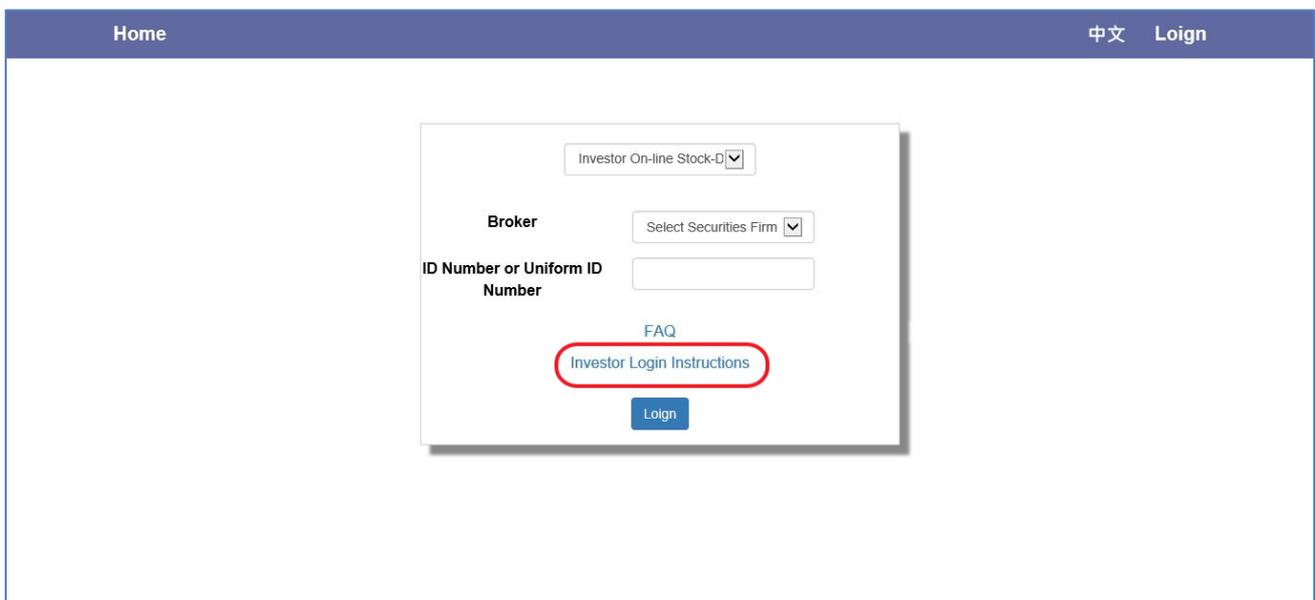
Step2. In "Personal" certificates, you can access all your certificates that have been imported, and you can remove any certificate by clicking "Remove".



3.4 Inability to log in on a browser using IE9 or later

3.4.1 Download the IE Quick Test and Setup Tool

Step1. Using an IE9 or greater browser, open <https://scas.twse.com.tw/> and select "Investor Login Instructions".



Step2. Select "IE Quick Test and Setup".

Installation Instructions – Investor On-Line Stock-Dealing Certificate



Instructions for Logging in with the Investor On-Line Stock Dealing Certificate (using Internet Explorer)

Supports Internet Explorer 9, Internet Explorer 10, and Internet Explorer 11

IE Quick Test and Setup

Step 1: Install necessary components.

64-bit component file (x64): [TseCCINxATL \(x64\)](#)

32-bit component file (x86): [TseCCINxATL \(x86\)](#)

Step 2: When logging in, install add-on components.

Step3. Change the settings to those recommended by the test tool.

Your PC configuration					
Windows Version	Microsoft Windows 7				
Screen Resolution	True color (32-bit) 1920 x 1080 pixels				
MSIE Version	Internet Explorer 11				
Javascript Support	JavaScript 1.3				
JRE Version	No JRE installed				
Windows Account/Group name	1094 / 電腦規劃部設計一組, G1094, Domain Users, 電腦規劃部, codesecond_group				
Run Component Check					

MSIE Configuration						
No.	Configuration	Description	Recommend Setting	Current Setting	Apply All	
1	Download signed ActiveX controls(Trusted sites)	For Component installation and execution purposes	Prompt	Prompt	Apply	
2	Automatic prompting for ActiveX controls(Trusted sites)		Disable	Disable	Apply	
3	Initialize and script ActiveX controls not marked as safe for scripting(Trusted sites)		Prompt	Prompt	Apply	
4	Run ActiveX controls and plug-ins(Trusted sites)		Enable	Enable	Apply	
5	Cancel: Enable memory protection to help mitigate online attacks		Cancel	Not Cancel	Apply	
6	Script ActiveX controls marked as safe for scripting(Trusted sites)		Enable	Enable	Apply	
7	Active scripting(Trusted sites)		For Active Script execution purpose	Enable	Enable	Apply
8	Display mixed content		For Miscellaneous purpose	Enable	Enable	Apply
9	Include local directory path when uploading files to a server		For Component execution purpose	Enable	Enable	Apply
10	Add trusted site (tse.com.tw)		For Component execution purpose	Add	Add	Apply